

03/16/01
11033 U.S. PTO
09/810920
03/16/01

03.19.01

A

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 12969-1

First Named Inventor Bitler

Express Mail Label No. EK 1059697US

Title Polymeric Thickeners for Oil-Containing Compositions

To the Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

Transmitted herewith for filing under 35 USC §111 and 37 CFR §1.53(b) is a non-provisional utility patent application

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form (Original, and a duplicate for fee processing) and check # 7812 for \$ 395.00
2. ☒ Applicant claims small entity status (37 CFR 1.27).
3. ☒ Specification [Total Pages 21 (Description: 14pages; Claims: 5 page(s); Abstract: 1 page)]
4. ☐ Drawings (35 USC §113) [Total Sheets]
5. ☒ Oath or Declaration [Total Pages]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR §1.63(d)) (for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S) Signed statement attached to delete inventor(s) named in the prior application
6. ☐ Application Data Sheet (See 37 CFR 1.76)
7. ☐ CD-ROM or CD-R in duplicate, large table Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) (a) (b)(I) (ii) (c)

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document (s))
10. ☒ 37 CFR 3.73 (b) Statement (when there is an assignee)
 - ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS) including Form PTO-1449 (in duplicate) ☐ IDS Citations (unless not required)
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP §503) (specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 USC 122 (b) (2) (B) (i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☐ Other
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/390,377, filed Sept. 17, 1999

Prior application information: Examiner: Szekely P. Group/Art Unit: 1714

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of prior application, from which an oath or declaration is supplied under Box 5b, is considered part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

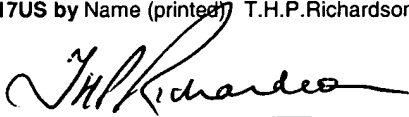
X Customer Number 23676 (Sheldon & Mak, 225 South Lake Avenue, 9th floor, Pasadena, CA 91101)

X Correspondence address below

EXPRESS MAIL CERTIFICATION (37 CFR §1.10)

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231, on March 16, 2001, Express Mail mailing label No. EK105969317US by Name (printed) T.H.P.Richardson

Signature



Respectfully Submitted,



Name: T.H.P.Richardson, Reg. No. 28,805

Tel: 650-854-6304

Fax: 626-795-6321

Address: Sheldon & Mak
225 South Lake Avenue, 9th floor
Pasadena, CA 91101

PatAppTrans010316

Send to: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231. Do not use for provisional applications.

03/16/01
11003 U.S. PTO

FEE TRANSMITTAL WITH NEW UTILITY PATENT APPLICATION

Application No.	not yet known	Examiner Name	not yet known
Filing Date	herewith	Group Art Unit	not yet known
First Named Inventor	Bitler	Attorney Docket No.	12969-1

TOTAL AMOUNT OF PAYMENT \$ 395.00

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to
Deposit Account No: 19-2090 Deposit Account Name: Sheldon & Mak
- ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 CFR 1.16 and 1.17 to
Deposit Account No: 19-2090 Deposit Account Name: Sheldon & Mak
- ☒ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ Payment Enclosed: ☐ check # 7812 for \$ 395.00

FEE CALCULATION

1. BASIC FILING FEE Utility Patent filing fee (\$710/355) Fee Paid \$ 355.00
2. EXTRA CLAIM FEES for claims in specification as filed

	No. in Specification	No. Included in Filing Fee	No. Extra	Applicable Fee	Fee Paid
Total Claims	20	- 20 =	0	x 18/9 (ea)	
Independent Claims	4	- 3 =	1	x 80/40 (ea)	40.00
Multiple dependent claim(s) presented for first time (c)			No	270/135	
Subtotal Extra claim fees					(\$) 40.00

This sheet is transmitted in duplicate.

EXPRESS MAIL CERTIFICATION UNDER 37

CFR 1.10

I hereby certify that this paper is being deposited with the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated below and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

Express Mail mailing label No. EK 10596937 U.S. Date of Deposit March 16, 2001

Typed name of person signing this certificate: T. H. P. Richardson
Signature

T. H. P. Richardson

Respectfully Submitted,

T. H. P. Richardson
Name T. H. P. Richardson
Tel. No. 650-854-6304
Fax No. 626-795-6321
Address Sheldon & Mak
225 South Lake Avenue, 9th Floor
Pasadena
CA 91101